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May 26, 2004 Date: Centralized Facsimile Confirm#: Fax #: 703-872-9306 Number To: 05850-401 Client Matter#: User #: 9007 Sender's Number: 1241 Kevin A. Oliver From: Office: **Boston** Total Pages Sent (Including Cover Sheet):

Message

EXPEDITED PROCESSING

OFFICIAL COMMUNICATION

Please find enclosed, subject to a facsimile of transmission dated May 26, 2004 to the above FAX number, the following:

- 1) Information Disclosure Statement Under 37 C.F.R. § § 1.56 and 1.97 (2 pages);
 - 2) Form PTO-1449 (1 page); and

617-832-7000

3) Certificate of Transmission under 37 CFR 1.8.

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Art Unit: 2133

Examiner: NYA



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT DOCKET NO. NVO-004.01

In re Application of: Pellegrini, et al.

Application No: 10/723,640

Filed: November 26, 2003

For: Methods and Systems for Estimating

Reticle Bias States

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their Attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents (References AA-AC) are not being submitted herewith. Applicants respectfully request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached Form PTO-1449.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration co-pending U.S. patent applications that are owned at least in part by the assignee of this application and that describe subject matter related to the present application. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

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No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications and/or copies of associated Office Actions, the Examiner should contact the Attorney of record.

Our Docket#	Serial #/Patent No.	Date Filed/Date Issued	Title			
NVO-001.01	5,444,538	August 22, 1995	System and Method for Optimizing the Grid and Intrafield Registration of Wafer Patterns			
NVO-002.01	10/229,575	August 28, 2002	Methods and Systems for Controlling Reticle-Induced Errors			

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the claimed subject matter over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

The Commissioner is hereby authorized to charge any fee or credit any overpayment to Deposit Account No. 06-1448, Ref. NVO-004.01.

Date: May 26, 2004

Customer No: 25181
Patent Group
Foley Hoag LLP
155 Seaport Blvd.
Boston, MA 02110
Tel. (617) 832-1000
Fax (617) 832-7000

Respectfully submitted,

Kevin A. Oliver Reg. No. 42,049

Attorney for Applicants

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FORTH PTO-1449 INFORMATION DISCLOSURE CITATION			Docket Number (Optional) NVO-004.01				Application Numb er 10/723,640			
IN AN APPLICATION (Use several sheets if necessary)				Applicant Pellegrini et al.						
				Filing Date November 26, 2003			Group Art Unit NYA			
				U.S. I	ATENT DOCU	MENTS				
EXAMINER INITIAL	DOCUMENT NUMBER		DATE		NAME	CLA	ss	SUBCLASS	FILING DATE IF APPROPRIATE	
	ΛĀ	US-6,429,930	08-20	02	Littau, et al.		4	356		
	AB	US-6,526,164	02-20	03			144 3			
	AC	US-2003/0138706	07-20	03	Progler, ct al.	5	430			
EXAMINGR						DATE CONSIDERED				
EV AMINICIP.	Initi	al if citation considered	whether	or not cital	ion is in conformance	with MPEP § 609: Dr	aw line	through citation	if not in	
conformance	and no	ot considered. Include of	opy of th	is form wit	h next communication	to the applicant.				
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on May 26, 2004. Date

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Typed or printed name of person signing Certificate

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Information Disclosure Statement Under 37 C.F.R. § § 1.56 and 1.97 (2 pages); Form PTO-1449 (1 page); and a FAX transmittal sheet (1 page).

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to USPTO to process) an application, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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